Details on Customer Complaints

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Mirae Asset Capital Markets (India) Private Limited

Complaints received by Stockbrokers bearing SEBI Registration number- INZ000163138 for the month of October 2026

Data for every month ending – October 2026

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	20	1	19	1	0	0
2	SEBI (SCORES)	17	13	10	20	10	0	0
3	Stock Exchanges (if relevant)	0	3	1	2	1	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	**Grand Total**	**17**	**36**	**12**	**41**	**12**	0	0

Monthly Trend for disposal of complaints FY 26-27

Sr. No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	Apr-26	67	83	109	41
2	May-26	41	129	109	61
3	Jun-26	61	57	81	37
3	Jul-26	37	95	85	47
5	Aug-26	47	61	86	22
6	Sep-26	22	33	38	17
7	Oct-26	17	36	41	12
8	Nov-26				
9	Dec-26				
10	Jan-27				
11	Feb-27				
12	Mar-27				
	Grand Total	**292**	**494**	**549**	**237**

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month of the year	Received during the year	Resolved during the year*	Pending at the end of month (As on October 2026)
1	2019-20	0	0	0	0
2	2021-22	0	1	1	0
3	2022-23	5	507	488	24
4	2023-24	174	786	756	204
5	2024-25	1146	1701	1658	1189
6	2025-26	955	2276	2282	949
7	2026-27	292	494	549	237
	Grand Total	**2572**	**5765**	**5734**	**2603**

Mirae Asset Capital Markets (India) Private Limited

Complaints received by Depository Participants bearing SEBI Registration number IN-DP-589-2021 for the month of October 2026.

Data for every month ending – October 2026

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	1	1	1	1	1	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	**Grand Total**	**1**	**1**	**1**	**1**	**1**	0	0

Trend of Monthly disposal of Complaints (FY 26-27)

Sr. No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2026	6	7	9	4
2	May 2026	4	10	10	4
3	June 2026	4	10	8	6
3	July 2026	6	3	6	3
5	August 2026	3	4	5	2
6	September 2026	2	5	6	1
7	October 2026	1	1	1	1
8	November 2026				
9	December 2026				
10	January 2027				
11	February 2027				
12	March 2027				
	Grand Total	**26**	**40**	**45**	**21**

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year (As on October 2026)
1	2020-21	NA	NA	NA	NA
2	2021-22	0	0	0	0
3	2022-23	0	5	5	0
4	2023-24	22	176	178	20
5	2024-25	117	298	291	124
6	2025-26	82	195	199	78
7	2026-27	26	40	45	21
	Grand Total	**247**	**714**	**718**	**243**

*SEBI CDSL Depository Participant Registration was received in the month of May 2021

Complaints received by Research Analyst bearing SEBI Registration number INH000007526 for the month of October 2026

Data for every month ending – October 2026

Sr. No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**	**0**	**0**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (FY 26-27)

Sr. No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2026	0	0	0	0
2	May 2026	0	0	0	0
3	June 2026	0	0	0	0
3	July 2026	0	0	0	0
5	August 2026	0	0	0	0
6	September 2026	0	0	0	0
7	October 2026	0	0	0	0
8	November 2026				
9	December 2026				
10	January 2027				
11	February 2027				
12	March 2027				
	Grand Total	**0**	**0**	**0**	**0**

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

Sr. No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
6	2026-27	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

**Research Analyst Registration was taken in January 2020*

Complaints received by Merchant Bankers bearing SEBI registration number INM000012485 for the month of October 2026

Data for every month ending – October 2026

Sr. No	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	1	1	0	0	0
5	**Grand Total**	**0**	**1**	**1**	**0**	**0**	**0**

Monthly trend for the financial year – FY 2026-27

Sr.No	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	April 2026	0	0	0	0
2	May 2026	0	0	0	0
3	June 2026	0	0	0	0
3	July 2026	0	0	0	0
5	August 2026	0	0	0	0
6	September 2026	0	0	0	0
7	October 2026	0	1	1	0
8	November 2026				
9	December 2026				
10	January 2027				
11	February 2027				
12	March 2027				
	Grand Total	**0**	**1**	**1**	**0**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

Sr.No	Year	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of the year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024	0	0	0	0
4	2025	0	0	0	0
5	2026	0	1	1	0
	Grand Total	**0**	**1**	**1**	**0**